

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**PES-0041**

In Re Application Of: **A. John Speranza, et al.**

Serial No.  
**09/963,978**

Filing Date  
**September 26, 2001**

Examiner  
**D. Scaltrito**

Group Art Unit  
**1746**

Title: **INTEGRAL MEMBRANE SUPPORT AND FRAME STRUCTURE**



Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
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In Re Application: A. John Speranza, et al.

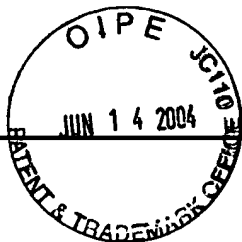
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**INTEGRAL MEMBRANE SUPPORT AND FRAME STRUCTURE**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.
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Dated: June 11, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: A. JOHN SPERANZA, ET AL. )  
Serial No.: 09/963,978 ) Group Art Unit:  
Filed: SEPTEMBER 26, 2001 ) 1746  
For: INTEGRAL MEMBRANE SUPPORT AND ) Examiner:  
FRAME STRUCTURE ) Donald V. Scaltrito

Commissioner for Patents  
Alexandria, VA 22313

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**

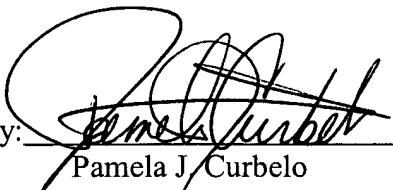
Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.


The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

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<div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	Docket Number (Optional) <b>PES-0041</b>	Application Number <b>09/963,978</b>
	Applicant(s) <b>A. John Speranza, et al.</b>	
	Filing Date <b>September 26, 2001</b>	Group Art Unit <b>1746</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	3,533,852	10/13/1970	Baker, et al.	136	145	12/28/1966
	2	3,856,573	12/24/1974	Groppel	136	86 R	08/16/1972
	3	5,187,025	02/16/1993	Kelland, et al.	429	33	02/03/1992

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
4	WO 00/40780	13.07.2000	International	C 25 B	9/00		✓
5	WO 97/38461	16.10.1997	International	H 01 M	8/02		✓
6	WO 98/21777	22.08.1998	International	H 01 M	8/10		✓

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

7		International Search Report, International Application No. PCT/US 01/30140, International Filing Date: September 26, 2001, Date of Mailing: June 9, 2004, 8 pages

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.